

## RELATED APPLICATIONS

FIELD OF THE INVENTION

## BACKGROUND OF THE INVENTION

Diagnostics has traversed a broad range of disciplines from an initial foothold by serologic diagnostics to DNA molecular diagnostics, with PCR being the front-runner. Problems with current diagnostic technologies are that they do not enable the direct detection of species specific mRNA, species specific proteins, and also lack specificity and sensitivity. The problems of detection of molecular cancer metastasis, or residual disease, the early detection of HIV and other viral agents, sensitivity in detection of pathologic proteins or cells in normal tissue, and the need for heightened specificity and sensitivity in the determination of dysplasia, illustrate the need to provide diagnostics that will integrate nucleic acid and protein analysis into a platform that will support reliable and accurate diagnostic results. Furthermore, this technology should provide for detection of targets that are found in very small copy numbers, whether the target is low numbers of DNA, mRNA, protein and cellular targets, in the presence of a large amount of non-specific (normal) material such as genomic DNA, mRNA, protein, and cells. Additionally, diagnostic tests should be able to detect a low number of cancer cells in a mass of normal cells.

A major problem with currently available diagnostic technology has been the inability to achieve consistently high levels of specificity and sensitivity early in the infectious time-course of a disease and a similar inability to look for specific analytes at very low copy number in a large mass of non-specific analyte. An analogy for this situation would be to find a needle in a haystack. The needle may represent the specific analyte of interest whether it is DNA, mRNA, other RNA, cellular material and soluble protein. The hay would represent normal or non-target analyte of the same material.

What is needed are methods and compositions for specific and sensitive detection of analytes.

#### SUMMARY

The present invention comprises methods and compositions for diagnostic applications that can analyze DNA and RNA directly without a need for DNA amplification or the need for a reverse transcriptase reaction. The methods of the present invention minimize the occurrence of false positive and false negative conclusions in diagnostic results. The methods of the present invention can also analyze cellular and soluble protein samples for low copy number targets, such as cellular or protein subpopulations. The methods of the present invention can support analysis of approximately 5,000,000 to 10,000,000 exfoliative cells or disaggregated tissue cells and detect a unique cell subset or single cell in the population. Related technologies for detecting low copy numbers of targets are found in U.S. Patent No. 5,962,225; U.S. Patent Application No. 09/313,121; U.S. Patent Application No. 09/633,848; U.S. Patent Application No. 09/439,134; U.S. Patent Application No. 09/443,633; U.S. Patent Application No. 09/702,066; U.S. Patent Application No. 09/705,067; U.S. Patent Application No. 09/776,568; and U.S. Provisional Patent Application No. 60/226,823, filed July 22, 2000, all of which are herein incorporated in their entireties.

These processes referred to as Haystack Processing (HP) technology include TPA, RFTA, CPA, and STM. High specificity of the diagnostic result is a characteristic of inventions comprising this technology. High sensitivity is also a characteristic of the present

The methods of the present invention comprise signal amplification methods that are used to detect ultra low numbers of any analyte, DNA, RNA, cellular material or protein. The novel signal amplification methods of the present invention are collectively referred to as Sequential Linked Enzyme Signal Amplification (SLESA). SLESA provides for a direct interaction of a number of sequential enzymes linked with each other that result in amplification of a signal from a single target from a million fold to 10 million fold or more. In other words, the presence of a single target will generate millions to multi-millions of signals that will aid in the ready detection of the presence of the very low copy target.

Fig. 1 is a chart showing signal generation by Zymogen Mediated Signal Amplification (ZMSA-1).

Fig. 2 is a chart showing signal generation by Zymogen Mediated Signal Amplification (ZMSA-2).

Fig. 3 is a chart showing signal generation by Autocatalytic Zymogen Mediated Signal Amplification (ZMSA-AC).

Fig. 4 is a chart showing signal generation by the ZMSA and CMSA (Complement Mediated Signal Amplification) hybrid (Z/CMSA).

Fig. 5 is an example of several zymogen cascade and zymogen conversion signal amplification reaction processes.

Fig. 6 is a chart showing combinations of methods for very low copy number target analyte detection.

Fig. 7 is a representation of Membrane Assisted Complement Mediated Signal Amplification technology (MACMSA) for amplification of a single immunogenic epitope on a reporter probe or a single soluble immunogen in solution.

Fig. 8 is a representation of Complement Mediated Signal Amplification as it relates to detection of single pathologic cells or nuclei in a mass of normal cells or nuclei.

Fig. 9 is a representation of a mRNA RP-TFO embodiment of SNP-TPA using a ZMSA embodiment of SLESA.

The present invention comprises methods and compositions for the detection of low copy number targets. The targets comprise cellular components, DNA, RNA, other nucleic acids, synthetic and natural nucleic acids and proteins, carbohydrates, antigens and other targets. The methods and compositions of the present invention can be used, for example, in diagnostic assays to detect the presence of disease or an alteration in the physiological state or used to follow the effect of treatment agents.

are not reported.

20 The STM (selective target monitoring) platform can also directly analyze large cellular and soluble protein samples for low copy number targets (cellular and protein subpopulations). STM can support analysis of approximately 5,000,000 to 10,000,000 exfoliative cells or tissue cells (disaggregated) and look for a unique cell subset  
25 or rare abundance cells in the population. STM can further support analysis of milligram quantities of soluble protein and support detection of a unique protein subpopulation with theoretical sensitivity limits to rare abundance protein molecule targets; the analogy of which is like testing the entire haystack (proteins) for a  
30 single needle (single protein molecule of interest).

The signal amplification methods of the present invention are collectively referred to as Sequential Linked Enzyme Signal Amplification (SLESA). SLESA provides for a direct interaction of a number of sequential enzymes linked with each other that result in amplification of a signal from a single target. Three embodiments of SLESA are named Zymogen Mediated Signal Amplification (ZMSA), Complement Mediated Signal Amplification (CMSA), and

### Membrane Associated Complement Mediated Signal Amplification (MACMSA).

A zymogen by definition is an inactive precursor that is converted to an active agent by some action, such as that of acid, alkali, or an enzyme. Herein, zymogen and proenzyme are used interchangeably. The enzyme is produced in a cell as a proenzyme (in an inactive form) to protect the cell from the activity of the active enzyme. Any molecule, such as an enzyme, that can convert a proenzyme to an active enzyme, which in turn processes a substrate, is included in this SLESA embodiment. This also includes any molecule that can generate a catalytic change in another molecule. This also includes any enzyme that can be sequentially linked to another (or others) and react with a substrate for signal amplification for target analyte detection.

Embodiments of SLESA comprise an autocatalytic reaction signal amplification (ACSA) process, where the initiating catalyst (enzyme or other molecule) is attached to the analyte (target) to be detected. An example of this embodiment is the use of nitrite ions on hemoglobin in the autocatalytic production of methemoglobin. Nitrite is singularly attached by methods known to those skilled in the art, to the target analyte, which would initiate the autocatalytic conversion of hemoglobin (reduced) to methemoglobin (oxidized) only if the target is present. In this system, the presence of methemoglobin in the sample analysis would confirm the presence of the target analyte at very low copy numbers.

Another embodiment of SLESA, synthetic polymer signal amplification (SPSA), comprises a catalytic acceleration reaction by an enzyme-like synthetic polymer, wherein a synthetic polymer possesses substrate binding moieties and adjacent catalytic moieties for its modification. When these moieties are appropriately chosen, enzyme substrate turnover rates may approach  $10^{12}$  fold. An example of such a synthetic polymer was presented by Kiefer (1972) wherein a synthetic polymer series, namely polyethyleneimine containing dodecyl ( $C_{12}H_{20}$ )-chains for binding sites and imidazole moieties for functional catalytic groups, catalyzed the hydrolysis of phenolic sulfate esters by a two-step mechanism similar to that of the corresponding natural enzyme.

In this SPSA embodiment, the polymer is conjugated to a reporter molecule by methods known to those skilled in the art, with affinity for the target analyte only. Removal of non-specific (unbound reporter), addition of the reaction product to be hydrolyzed, and detection of the hydrolysis reaction leads to the determination of the presence, and in some embodiments, the amount, of the target.

The presence of the hydrolysis product, in this example, 4-nitrocatechol can be detected by any method known to those skilled in the art to confirm the presence of the low copy number analyte. In this embodiment, a single "enzyme" (catalytic polymer) is involved in signal amplification, however, in alternate embodiments different catalytic polymers may be linked together, may be linked to enzymes, or any means known by those skilled in the art, to initiate and complete a reaction upon addition of a substrate and by analysis of the reaction product for the presence of the predicted reaction product indicative of the presence of the very low copy number analyte.

Another embodiment of SLESA called ZMSA (zymogen mediated signal amplification) involves the use of a catalytic molecule or enzyme to activate a proenzyme (inactive form) to an active enzyme, which in turn produces a predicted product upon reaction with a signal producing substrate. The zymogen cascade, once activated, can produce a very powerful signal amplification tool. The zymogen cascade is very powerful as an amplification process, due to the sequential linkage of several enzymes and their enormous substrate processing capabilities.

Figure 1 represents the linkage of enzymes and the resulting substrate produced. A standard enzyme processes substrate at a rate of 3 to 4 logs per unit time (minutes.) Accordingly, linkage of two enzyme systems will provide turnover rates of 6 to 8 logs per unit time. In this example, the enteropeptidase catalytically processes trypsinogen (3-4 logs per minute turnover), which each trypsin produced catalytically processing a colorless substrate to a colored form (again at a 3-4 logs per minute turnover rate) at a total rate of 6-8 log colored substrate turnover rate.

SLESA embodiments provide a large signal amplification effect from a single target. Any sequentially linked enzyme systems known to those skilled in the art, are included in the present invention. The digestive system zymogens are representative of a small group of

zymogen reactions. Other zymogen reactions include but are not limited to, enzymes in the clotting cascade, fibrinogen activation, proinsulin activation and procollagen activation. The digestive  
 5 processes that support signal amplification due to the sequential linkage of several enzymes and their activities. Similarly the complement zymogen cascade affords signal amplification opportunities.

10 The zymogen activation reactions involving fibrinogen, proinsulin, and procollagen activation, due to the lack of extensive sequential enzyme linkage, support less significant levels of signal amplification, nevertheless valuable for signal amplification and will be referred to as zymogen conversion reactions.

15 The zymogen cascade and the other conversion reactions will be briefly presented to demonstrate their configuration in diagnostic testing and discuss the theoretical signal amplification levels that they will support.

20 Characteristics of the zymogen reaction for signal amplification (ZMSA-1):

- I. The reporter probe possesses an attached activation enzyme (or other catalytic molecule).
- II. The activation enzyme has as its substrate a proenzyme, 1<sup>st</sup> enzyme, which it activates to active enzyme, 1<sup>st</sup>  
 25 enzyme.
- III. A signal must be generated as a product of the active enzyme and substrate reaction, or even the product of enzyme activation of the zymogen, namely the production of the activation peptide to allow detection of  
 30 the low copy number analyte.

Characteristics of the zymogen reaction for signal amplification (ZMSA-2):

- I. The reporter probe possesses an attached activation  
 35 enzyme (or other catalytic molecule) and has affinity only for the target analyte.

- II. The activation enzyme has as its substrate, a proenzyme, 1<sup>st</sup> enzyme, which it activates to active enzyme, 1<sup>st</sup> enzyme.
- III. The activated enzyme, 1<sup>st</sup> enzyme, reacts with another proenzyme 2<sup>nd</sup> enzyme, which then activates it producing active enzyme, 2<sup>nd</sup> enzyme, and so on.
- IV. Ultimately, a signal must be generated either as a product of an active enzyme and substrate reaction, or even a product, namely activation peptide production as a consequence of the final or any step of the sequential enzyme linked activations to allow detection of the low copy number analyte.

In ZMSA embodiments, the activating enzyme is linked to a reporter molecule with affinity only for the target analyte. Removal of non-specific (unbound) reporter, addition of proenzyme and its activation, addition of substrate, and confirmation of the presence of the reaction product resulting from substrate processing by the active zymogen by methods known to those skilled in the art will confirm the presence of the low copy number analyte. In different embodiments, one or more zymogen enzymes may be linked together and a final reaction product generated will confirm the presence of the very low copy number target present.

These embodiments are referred to as Zymogen Mediated Signal Amplification (ZMSA). The following table presents the designating nomenclature:

ZMSA DESIGNATIONS		
Name	No. of Proenzymes Linked	No. of Enzymes Linked Including the Initial Activation Enzyme
ZMSA-1	1	2
ZMSA-2	2	3

The novel signal amplification methods of the present invention comprise an increased signal due to the catalytic interaction between the enzyme and its substrate, whether it is another enzyme or a signal producing substrate.

In a preferred embodiment of ZMSA-1, an enzyme such as enteropeptidase (formerly known as enterokinase) is used to activate a



In a preferred embodiment of ZMSA-1, a single molecule of enteropeptidase and substrate amounts of trypsin and substrate amounts of the signal producing molecule can theoretically provide as much as a six to eight log production of signal. ZMSA functions in signal amplification for the detection of analytes by placing single or multiple molecules of enteropeptidase on the analyte to be detected.

ZMSA can also be similarly configured for cell and protein analysis utilizing antibodies or other molecules functioning similarly to deliver the proenzyme activation molecule to the zymogen.

The novel signal amplification method of the present invention possesses a highly increased signal production capability due to the accelerated and catalytic reaction of the enzyme with its substrate.

In a preferred embodiment of ZMSA-2, an enzyme such as enteropeptidase is used to activate a proenzyme, such as trypsinogen (inactive form) to the enzyme trypsin, the active form. The activated proenzyme, trypsin, produced in enzyme substrate amounts then reacts with and converts a second proenzyme, namely

chymotrypsinogen (inactive form) in enzyme substrate amounts to chymotrypsin (the active form) which then reacts with its specific colorless substrate to produce a color. Any signal known to those skilled in the art may be employed and any linked zymogens may be utilized.

#### PEPTIDE RELEASE IN ZYMOGEN ACTIVATION: TRYPSINOGEN

In ZMSA-1, trypsinogen (inactive) is converted into active trypsin by removal of a hexapeptide from the n-terminal end, mediated by the action of the enzyme, enterokinase.

In a preferred embodiment molecule or molecules of enterokinase will be attached to a reporter probe with affinity for the target analyte. Capture of the target analyte and attachment to a solid surface, removal by washing of unbound reporter probe/enterokinase, addition of any zymogen (proenzyme), and addition of a signal producing substrate generating a signal upon interacting with the active enzyme results in signal detection and confirms the presence of the target analyte. In this example, the substrate for the enterokinase is the proenzyme, trypsinogen (inactive form), which is converted to trypsin (active form) with the release of the peptide. Detection of the peptide can verify the target presence. Similarly, the presence of a color product will also verify the presence of the target.

#### PEPTIDE RELEASE IN ZYMOGEN ACTIVATION:CHYMOTRYPSINOGEN

In ZMSA-2, trypsinogen (inactive) is converted into active trypsin by removal of a hexapeptide from the n-terminal end, mediated by the action of the enzyme, enterokinase. In a preferred embodiment of ZMSA-2, the trypsin reacts with chymotrypsinogen (inactive) converting it into active chymotrypsin resulting in the removal of two peptide fragments (dipeptides).

In this embodiment of ZMSA-2, molecule or molecules of enterokinase are attached to a reporter probe with affinity for the target analyte. The process entails capture of the target analyte and attachment to a solid surface by any method known to those skilled in the art, hybridization of an enzyme conjugate reporter probe, removal by washing of unbound reporter probe/enterokinase, conjugate, and

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A preferred embodiment of ZMSA-1 results in the production of trypsin molecules. Another embodiment for single analyte signal amplification to detect the presence of these low copy number analytes is a two-step method that employs the activation of trypsin

5 Trypsinogen is added to be converted in enzyme substrate amounts to active trypsin. Next, complement reagent is added to the solution and via the alternative pathway the complement is activated by the cleavage of C3 into C3b with the release of the C3a peptide.

Another embodiment is the fusion of zymogen activation, for example, trypsinogen, and complement activation, for example where the assay is for C3a peptide production. In this hybrid embodiment, the classical pathway in the complement reagent must be blocked by an inhibitor that keeps the alternate pathway intact and available for C3a peptide generation. The trypsin, generated by zymogen activation, activates the alternate pathway and leads to the production of an amplified number of C3a peptides. A single enterokinase enzyme on a reporter probe converts enzyme substrate amounts of trypsinogen to trypsin and the trypsin produced would activate the alternate complement pathway and result in increased numbers of C3a peptide production and both participate in signal amplification by generation of millions of signals (C3a fragments) per single target analyte. These can be detected by methods known to those skilled in the art.

- I. A reporter probe labeled with Kallikrin, or a molecule of identical function, which will activate the kinnin system and the remaining zymogen cascade, and initiate the formation of the fibrin clot.

- II. The activation enzyme Kallikrin will cause the sequential activation of several zymogens and support an extensive amplification effect leading to the clot.
- III. The result of the sequential enzyme linked signal amplification will be the formation of the fibrin clot. Two indicators of target analyte presence and subsequent clot formation are the increased opacity of the translucent plasma due to fibrin formation, and retraction of the clotted plasma from its container.
- IV. Signal amplification detection.
- Another embodiment of ZMSA represents a zymogen conversion that results in procollagen conversion to collagen mediated by a neutral protease enzyme (zymogen/proenzyme). This conversion reaction is referred to as ZMSA-PCC (procollagen conversion). This embodiment comprises:
- I. A receptor probe labeled with a serine protease, which will catalytically activate the neutral protease, the zymogen.
- II. The activation enzyme, the serine protease, activates the neutral protease to convert the soluble procollagen, clear in solution, to the insoluble fibrous collagen, forming an opaque matrix.
- III. The result of neutral protease activation will be the conversion of procollagen to collagen and the formation of an opaque matrix in the reaction vessel.
- IV. Signal amplification detection.
- Another embodiment of ZMSA represents a zymogen conversion that results in proinsulin conversion to insulin mediated by endopeptidase PC2 or PC3 (zymogens/proenzymes). This conversion reaction is referred to as ZMSA-PIC (proinsulin conversion) process. This embodiment comprises:
- I. A reporter probe labeled with a serine protease, which will activate the endopeptidases PC2 or PC3 (zymogens).
- II. The activation enzyme reacts with the endopeptidases to convert the proinsulin to insulin with the release of a biologically inactive C peptide of 31 amino acid residues.
- III. The result of endopeptidase PC2 or PC3 activation and proinsulin modification would be the production of the C-peptide into the solution. Quantification of C peptide production by methods known to those skilled in the art would

provide an indication of the extent of zymogen conversion of proinsulin and a quantitative measure of signal amplification, indicates the presence of the low copy analyte of interest.

Another embodiment of ZMSA represents a zymogen conversion that results in fibrinogen conversion to fibrin by activated prothrombin. This conversion reaction is referred to as ZMSA-PTC (prothrombin conversion). This embodiment comprises:

- I. A reporter probe labeled with a serine protease that will activate the prothrombin (inactive) zymogen to active thrombin.
- II. The activation enzyme the serine protease reacts with prothrombin (proenzyme) converting the prothrombin to active thrombin, which in turn converts soluble fibrinogen to fibrin.
- III. The resulting formation of the fibrin clot will be followed by its transition to an opaque and retractive clot to indicate the amplification reaction result due to the presence of the low copy number analyte.
- IV. Signal amplification detection.

ZMSA can be similarly configured for cell and protein analysis utilizing antibodies or other molecules with attachment of the activation molecule functioning similarly to deliver the proenzyme activation molecule to the target. ZMSA also functions in nucleic acid analysis (mRNA and ds and ss DNA) and the oligonucleotide attached activation molecule can initiate signal production.

#### ZMSA mRNA TPA Example 1

The following Example 1 for ZMSA nucleic acid analysis utilize the RP-TFO generation of a PNAS or protected nucleic acid target sequence.

Example 1 possesses three levels of specificity, provided by, one, the exonuclease (3'→ 5') degradation of the mRNA, and two, mRNA target RP-TFO capture, and three, subsequent attachment of the reporter probe (either a duplex forming oligo, or Reverse Phase-Triplex Forming Oligonucleotide).

Step I: The RNA is prepared by methods known to those skilled in the art. RNA isolation usually provides a protein denaturation step, and treatment with a chaotropic agent (guanidinium sulfate) which denatures the environmental and cellular ribonucleases present.

Step II: The sample RNA is hybridized with a capture RP-TFO that is biotinylated and specific for the mRNA target at pH 5.5. The RP-TFO is specific for a 12 mer polypyrimidine region with one purine insertion on the target mRNA. See related documents. If the RNA is mRNA, slight heating of the mRNA may aid in triplex formation at target site. If the RNA is rRNA, more extensive heating (~90°C) of the RNA will remove the secondary structure and allow the RP-TFOs to form the stable triplex at the target site. This is the first level of specificity.

Step III: Add an exonuclease (3'→5') to degrade all non-specific mRNA and target mRNA only from the 3' end to the capture RP-TFO. The capture RP-TFO provides a PNAS which renders the target nuclease resistant. The enzyme must possess enough activity at the pH selected for use, preferably 7.2 - 7.6 or lower, if enzyme activity allows to degrade non-specific mRNA. At this point, the target/capture probe complex forms the PNAS (protected nucleic acid sequence). A single stranded mRNA nuclease (3'→5' activity) according to classical TPA is now added to destroy all non-specific mRNA targets, degrade them in a 3' to 5' direction and also the 3' end of the target analyte. The RP-TFO will protect the mRNA target analyte from the RP-TFO binding site to the 5' end of the mRNA target analyte from exonuclease degradation. The reporter probe, thus as a duplex or triplex, will be placed on the 5' end of the target analyte mRNA (between the RP-TFO capture probe and the 5' end of the mRNA target). This is the second level of specificity.

It is preferred in these methods that the assay pH remain as low as possible to generate the most stable PNAS with the RP-TFO, and prevent environmental ribonuclease assay interference, due to the fact that these have no activity below pH 7.0.

Step IV: Streptavidin coated magnetic beads are added to the enzyme treated sample and bind the mRNA target with its attached capture biotinylated RP-TFO

Step V: The magnetic beads are washed to remove non-specific material with buffer at preferably, pH 7.2.

Step VI: The magnetic beads are next hybridized with a reporter probe either a duplex forming oligonucleotide or a triplex forming

RP-TFO both possessing the zymogen activation molecule. This is the third level of specificity of the assay.

Step VII: The magnetic beads are washed to remove unbound reporter probe with buffer, preferably at pH 7.2.

5 Step VIII: Zymogen is added to the magnetic beads with the attached PNAS containing the activation enzyme or molecule at the appropriate reaction pH.

Step IX: Depending on the use of the ZMSA 1 or ZMSA 2 assay formats, add substrate for the active zymogen, or a second zymogen  
10 followed by its substrate are added at the appropriate pH for substrate conversions to a detectable form. Known detection methods include chemiluminescent, colorimetric, fluorescence or other signal detections known to those skilled in the art.

Step X: Detect the signal.

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#### ZMSA mRNA TPA Example 2

The following Example 2 utilizes a single reporter RP-TFO possessing the zymogen activation molecule. This assay possesses only one level of specificity, appropriate for diagnostic detection of  
20 greater number of abundance targets. This one level is based on the hybridization of the mRNA target with the reporter RP-TFO.

Step I: The RNA is prepared by methods known to those skilled in the art. RNA isolation usually provides a protein denaturation step, and treatment with a chaotropic agent (guanidinium sulfate) which  
25 denatures the environmental and cellular ribonucleases present. Care must also be taken to remove carbohydrates, glycoproteins and any other non-specific molecule possessing a *cis*-diol moiety that will react with the phenylboronic acid coated magnetic bead and thereby compete with the ribose of the RNA species present. The RNA must  
30 be heated to remove secondary structure (~90°C) and a labeled reporter RP-TFO is added. The label may be any known to those skilled in the art. The RNA sample is then cooled slowly to permit triplex formation and generation of PNAS.

Step II: Phenylboronic coated magnetic beads are added to the  
35 RNA preparation and all RNA species present (mRNA, rRNA, and tRNA) from ionic interactions via their ribose sugar with the magnetic bead coating (deoxyribose of DNA does not react) under conditions known to those skilled in the art. Again the strategy is to keep the pH

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**Table 1**  
**INACTIVE COMPLEMENT PEPTIDE (ICP)**  
**CHARACTERIZATION AND QUANTIFICATION IN CMSA**

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10 <sup>3</sup> sites to fix C' per membrane surface (First Amplification Step)	Nature of ICP generated	Number ICPs produced based on binding of each C1s molecule
C1q, C1r, C1s	NONE	NONE
C4b	C4a	10 <sup>3</sup> /cell or nuclear membrane
C4b2b3b	C3a	10 <sup>3</sup> /cell or nuclear membrane
C4b2b3b5b	C5a	10 <sup>3</sup> /cell or nuclear membrane
C6,7,8,9	NONE	NONE
(Second Amplification Step)	<b>200 fold increase in ICPs</b>	
C1q, C1r, C1s	NONE	NONE
C4b	C4a	200 x 10 <sup>3</sup> 2 x 10 <sup>5</sup> per C1s bound
C4b2b3b	C3a	2 x 10 <sup>5</sup>
C4b2b3b5b	C5a	2 x 10 <sup>5</sup>
C6,7,8,9	NONE	NONE
(Third Amplification Step)	<b>200 fold increase in ICPs</b>	
C1q, C1r, C1s	NONE	NONE
C4b	NONE	NONE
C4b2b3b	C3a	200 x 2 x 10 <sup>5</sup> or 4 x 10 <sup>7</sup> or 40 Million
C4b2b3b5b	C5a	Not amplified here (same value as amplification step number 2) NONE
C6,7,8,9	NONE	NONE
<b>SUMMARY: ICP numbers produced in CMSA based on the presence of a single pathologic target cell</b> <ul style="list-style-type: none"> <li>▪ Primary Amplification Step 3 x 10<sup>3</sup> ICPs</li> <li>▪ Secondary Amplification Step 6 x 10<sup>5</sup> ICPs</li> <li>▪ Tertiary Amplification Step 4 x 10<sup>7</sup> ICPs</li> </ul> <b>Total ICPs generated per single pathologic target</b> 4.0603 x 10 <sup>7</sup> ICPs 40 Million		

Zymogen/enzyme systems can also be used in Selective Target Monitoring Platform assays where the molecule attachment is to a cell subset is mediated by attachment to a monoclonal or other antibody

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The following are probe based ZMSA signal amplification methods for target detection in analyses using mRNA RP-TFO TPA, and DNA RP-TFO TPA, disclosed in the previously cited patents and patent applications.

# mRNA TPA USING THE RP-TFO AND ZMSA EMBODIMENT OF SLESA AND AUTOMATION THEREOF

25 Step I: The mRNA is isolated by methods known to those skilled in the art. Milligram quantities can be simultaneously assayed. This can be achieved by use of the AGENDA (Automated Genomic Diagnostic Analyzer, CyGene, Inc., Sunrise, Florida) by the following steps.

The DNA and RNA are differentially removed from the deproteinated cell lysate in the well. Removal may be by attachment to a solid substrate, for example, the mRNA is selectively removed by

- movement of the lysate to a microtiter plate well containing poly dT coated magnetic beads which have affinity for the poly A 3' mRNA regions. The beads are removed and placed in another microtiter plate well for washing. The DNA can be selectively removed from the remaining lysate, for example, by addition to the lysate of magnetic bead with a net positively charged surface with affinity for the highly negatively charged DNA molecule after removal of the mRNA, which are then removed to another microtiter plate well for washing. Step II: The mRNA is hybridized to the poly dT coated magnetic bead. The bead, after washing, is transferred to another well of a microtiter plate where the mRNA is dissociated from the bead using heat, alkali, or any other method.
- Step III: Hybridize the nucleic acid in solution specific for the mRNA molecules of the analyte or target of interest with an RP-TFO (Reverse Phase-Triplex Forming Oligonucleotide). The RP-TFO possesses an affinity molecule (for example, a biotin that binds to a streptavidin coated magnetic bead). This is a capture reverse polarity oligonucleotide to attach the specific target analyte mRNA to a solid support.
- This is the first level of specificity.
- Step IV: A single stranded 3' → 5' nuclease is optionally added to the well containing the beads to degrade any non-specific non-target mRNA remaining and specific target mRNA from the poly A 3' end up to the RP-TFO anchor/attachment site, but not between the RP-TFO attachment site and the 5' end of the mRNA target analyte.
- This is the second level of specificity.
- Step V: Next a magnetic bead coated with streptavidin (or any other molecule of an affinity pair) is added and allows capture of the mRNAs of interest through the biotin conjugated RP-TFO that attaches the specific target mRNA to a solid support, preferably at a pH range of 4.5 - 7.5.
- Step VI: The beads are removed to a new microtiter plate well for washing and removal of non-specific non-target material (other mRNA molecules).
- Step VII: A reporter probe (which may be an 10 mer) is designed and is added to the well and hybridized to the 5' end of the mRNA target to form a duplex. Another embodiment provides for another

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This reporter probe may carry a mediator, such as a c-myc peptide, which is added during oligonucleotide synthesis of the probe at a specific site to assist with binding of the reporter conjugate or is the first step in signal generation, namely the IgG anti c-myc alkaline phosphatase conjugate.

**Step VIII:** Wash the magnetic beads to remove unbound reporter probe and place the beads in another microtiter plate well with buffer at low pH.

Step IX: Add an enzyme conjugate, such as IgG anti c-myc-enteropeptidase, to attach the enteropeptidase to the PNAS (protected nucleic acid sequence) at lowest pH that will accommodate an antigen/antibody interaction.

**Step X:** Remove the magnetic beads and wash to remove excess unbound conjugate with buffer, preferably at the low pH 6.5 - 7.2.

**Step XI:** Place the magnetic beads in another microtiter plate well in buffers. Under conditions allowing for activation of trypsinogen (a proenzyme) for example,  $\text{Ca}^{++}$  (20mM) is added and the pH is maintained at 7.6 – 8.0; also substrate amounts of the proenzyme trypsinogen is added. Use of buffers at pH 7.6 will require adoption of extensive measures to inactivate all environmental ribonuclease molecules.

Resolution to the high pH problem can be resolved, thusly. If human trypsinogen 1 and 2 are used, the following is known by those skilled in the art. The activation of human trypsinogens 1 and 2 by porcine enterokinase at pH 5.6 shows that the two human zymogens are equivalent substrates for this enzyme and that both proteins are activated faster than the cationic bovine trypsinogen. At pH 8.0 and in the presence of 20 mM calcium the two human trypsinogens are activated by either human trypsin at the same rate but the affinity of both trypsins is higher for trypsinogen 1 than for trypsinogen 2. Two  $\text{Ca}^{2+}$  binding sites are identified in the two human zymogens and their  $\text{pK}(\text{Ca}^{2+})$  values determined. For trypsinogen 1 the values are respectively of 2.8 and 3.3 for the primary and secondary  $\text{Ca}^{2+}$  binding sites, and for trypsinogen 2 or 3.4 and 2.7. These values are markedly different from those obtained for bovine cationic

Enterokinase (Enteropeptidase) pH 5.6 also activates human trypsinogens 1 and 2 and reacts faster with them than with cationic bovine trypsinogen.

Step XII: Incubate the PNAS/enteropeptidase conjugate complex and the trypsinogen for optimal time and temperature. The trypsinogen is converted to its active form trypsin in substrate amounts (thousands of enzyme molecules) at the lowest pH that will permit zymogen activation.

Interestingly the proenzyme trypsinogen, used in ZMSA  
embodiments of SLESA, and complement, used in CMSA and  
MACMSA, crossover and interact. It is known by those skilled in the  
art that trypsin will activate C3 in the alternate complement pathway  
and result in the production of increased numbers of C3a peptides.

A ZMSA/CMSA assay (Z/CMSA) comprises an activated proenzyme (such as, trypsinogen) which uses complement as a signal amplification substrate followed by quantification of C3a production. This is depicted in Figure 4.

**Step I:** The DNA is isolated by methods known to those skilled in the art. Milligram quantities can be simultaneously assayed. This can be achieved by use of the AGENDA (Automated Genomic Diagnostic Analyzer of CyGene) by the following steps.

Once the DNA is isolated from the sample, for example, as detailed above,

Step III: Add two RP-TFOs with an affinity capture molecule (a biotin) on one or both. Two RP-TFOs are preferred, one specific for a site upstream from the target and one specific for a site downstream from the target.

Step IV: Add EXO III to degrade non-specific DNA and a restriction endonuclease that has no restriction site located between



The is the second level of specificity.

- Step VI: Remove the magnetic beads to another plate well and wash to remove non-specific DNA and protein (pH 4.5 - 6.0).

- This reporter probe may carry a mediator, such as a c-myc molecule, which is added during synthesis of the probe at a specific site to initiate signal amplification. Incubate at optimal time and temperature at a pH range from 4.5 - 7.5.

- Step X:** Transfer the magnetic beads to a new well and add an IgG anti c-myc-enteropeptidase enzyme conjugate to attach the enteropeptidase to the PNAS for subsequent signal generation again at any pH, that will support antigen/antibody interactions and activation of the proenzyme.

- 30 Step XI: Transfer the beads to a plate well and add trypsinogen under conditions allowing for its activation to trypsin. For example,  $\text{Ca}^{++}$  (20mM) is added and the pH is maintained at 7.6 – 8.0; and the PNAS/conjugate complex and the proenzyme is incubated at optimum time and temperature for activation of the proenzyme. A preferred  
35 embodiment employs human trypsinogens 1 and 2 (previously described herein). The proenzyme is converted to its active form trypsin in substrate amounts (thousands of enzyme molecules).

Step XII: Add a substrate to the well that upon cleavage by trypsin will generate a molecule that is colored, fluorescent, chemiluminescent, or other ways detectable and monitor the production and quantify spectrophotometrically at the appropriate wavelength.

It is important to note that any detection substrate may be used, and that activation of the proenzyme, namely to trypsin can also be monitored by assays for the production of the Trypsinogen Activation Peptide (TAP) by any method known by those skilled in the art.

#### SLESA AS IT RELATES TO ALL DIAGNOSTIC APPLICATIONS

SLESA interacts with multiple assay formats and Figure 6 depicts the manner with which the signal amplification technology interfaces with it. The signal generated by Complement Mediated Signal Amplification (CMSA) is depicted in Figure 8, and the Membrane Assisted Complement Mediated Signal Amplification (MACMSA) is depicted in Figure 7, are also embodiments of SLESA.

Diagnostics must be also capable of functioning at supramolecular levels to confirm the presence of the pathologic or other cellular target in tissues, especially if there is a low copy number of target. STM functions on a cellular or nuclear level to negate the presence of normal cells or nuclei in the sample, by the generation of no signal from normal cells or nuclei, while supporting the extensive generation of signals only from the presence of the pathologic cell or nucleus.

STM on a cellular level is monitored by complement mediated signal amplification or CMSA. CMSA is based on the fixation and activation of complement by interactions between cell subset specific surface membrane proteins, and monoclonal or other antibodies, specific to these, that initiate the complement fixation process. CMSA is an embodiment of SLESA due to the fact that the complement cascade is, indeed, itself a series of selective linked enzymes (an enzyme cascade).

CMSA, see Figure 8, is used for detection of target cells and supports nonspecific target elimination (NTE) in any biological sample including:

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- Soluble protein (peptide) pathologic or other targets can also be analyzed by STM on a soluble protein molecular level that is monitored by use of membrane associated complement mediated signal amplification or MACMSA, see Figure 7. This can be achieved in samples of any body fluid, cell cytoplasm, nucleoplasm, or any liquid sample, for example, environmental.

MACMSA is used for detection of appropriate soluble target molecules and supports NTE in any biological or environmental fluid sample including:

- all body fluids,
- any protein (soluble) fluid suspension,
- environmental fluids,
- chemical and material processing fluids containing the soluble target.

Unique pathologic proteins or other immunogens at low molecule number in a vast excess of normal proteins are identified using STM and MACMSA, with high specificity and sensitivity. The specificity is insured by the presence of multiple specificity steps, and the sensitivity is supported by the minimization of signal background by non-specific target elimination in the samples (extracellular or intracellular), and generation of signal from all pathologic target molecules either intracellular in the cytosol or of exogenous pathologic target. This is achieved in NTE by eliminating the generation of non-specific signal from normal material and detecting

the presence of the pathologic immunogen by signal emanating from the analyte of interest only.

Another embodiment of NTE utilizes Selective Target Monitoring (STM) to selectively capture the cell population or cell subset of interest, and selectively lyse (withheld lysis) only those cells of interest to analyze them and their contents for cell surface disease markers, and provide analysis of their nucleic acid targets. STM reduces the deleterious effect of the contents of non-specific normal cells and their nucleic acid or protein content on the diagnostic assay in the search for a pathologic target.

#### MACMSA AN EMBODIMENT OF SLESA FOR ULTRA LOW NUCLEIC ACID TARGET DETECTION

Configuration of signal amplification involving nucleic acid targets is important in target detection on the molecular level for achieving single analyte copy recognition. MACMSA is used at the molecular level by using compositions comprising attachment of an antigenic epitope or a peptide comprised of numerous epitopes to an oligonucleotide which would act as a reporter probe. One embodiment of MACMSA comprises using a single immunogenic epitope to produce increased numbers of C3a molecules upon fixation and activation of complement via the classical complement pathway.

The extent of complement fixation and activation is influenced by many factors, these include:

- Avidity of the epitope and monoclonal antibody,
- Concentration of key intermediates in the complement cascade. For example, spiking native complement with additional C3 will increase the numbers of C3a produced by the presence of a single target analyte and reporter epitope in the assay,
- Method of complement fixation either by the classical pathway or the alternate pathway and the relative effect of C3 spiking on complement fixation by each,
- Function of the sensitized stroma used to amplify the C3a production signal from a soluble immunogen, and
- Methods of quantification of the C3a peptide.

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Antigen/antibody interactions are known to fix and activate the classical complement pathway and result in production of the ICPs discussed herein. Preferably, signal amplification from a single antigenic epitope is realized by employing multiple IgG molecules in

close proximity at the Ag/Ab binding site. Also preferred is to have a lipid membrane available in close proximity to support the signal amplification effect of the complement cascade, namely enhanced ICP production. Any method may be used by those skilled in the art to provide these requirements; however, a preferred embodiment follows.

Step I: In the embodiment depicted in Figure 7, the dinitrophenol (DNP) antigenic epitope is conjugated at any position on a reporter oligonucleotide. Preferably, the addition is at the time of oligo synthesis and not a post-synthesis modification. The epitope is present on the phosphoramidite to assure its placement on the oligonucleotide, however, the epitope can be added by any other method such as conjugation of biotin to the phosphoramidite followed by post-hybridization reaction with a streptavidin-epitope conjugate.

Step II: In the TPA embodiment, the reporter probe is added to a stationary or fixed protected nucleic acid sequence (PNAS) and is located on a microtiter plate wall or magnetic bead surface or any solid support.

Step III: The requirement for a lipid membrane to support the maximal signal amplification effect can take on many forms. Plates or magnetic beads can be lipid coated, and antibody linked. The preferred embodiment of MACMSA is the use of sensitized red blood cell stroma that may be produced by any method known to those skilled in the art; however, a preferred method for producing sensitized RBC stroma follows:

#### PRODUCTION OF SENSITIZED RBC STROMA

A: Generate an IgG antibody pair-each antibody having different specificities: One IgG is an IgG anti D monoclonal antibody used to attach the antibody pair to the RBC surface that does not fix complement. The second IgG is an IgG anti-DNP monoclonal antibody used to bind the DNP epitope and fix and allow activation of complement with ultimate C3a production.

B: Wash red blood cells carrying the Rh determinants on the cell membrane. The Rh determinant was chosen due to the fact that the D/anti D complex is known classically

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to not fix complement. Any other Ag/Ab pair functioning similarly could also be employed.

C: Sensitize the RBC cells with the IgG antibody pair, forming the Ag/Ab complex that brings the IgG anti-DNP in close proximity to the red blood cell membrane lipid surface.

D. Wash the sensitized RBCs.

E. Lyse the sensitized RBCs in a hypotonic solution resulting in RBC lysis (the resulting membrane material is referred to as stroma)

F. By centrifugation, separate out the stroma and wash to remove RBC contents such as hemoglobin and proteins.

G. Resuspend the sensitized stroma in a suitable buffer to add an aliquot to a tube containing the dissociated reporter probe with the DNP antigenic epitope.

Step IV: Addition of fresh complement and cofactors allows increased ICP production from the reporter probe DNP/anti-DNP sensitized RBC stroma interaction.

Step V: Assay for C3a peptide production by use of any procedure known by those skilled in the art, for example:

A) ELISA (for example, sandwich)  
capture and reporter C3a monoclonals are necessary

B) Sensitized RBC lysis  
RBC-IgG anti-D – Ig anti-C3a lysis observed.

#### SIGNAL AMPLIFICATION GENERATED BY A SINGLE COMPLEMENT FIXING MOLECULE ON A REPORTER PROBE: A POLYSACCHARIDE

Polysaccharides and other materials are known to activate complement by the alternate pathway and result in production of the ICPs. The signal amplification effect of the present invention can be realized if the alternate pathway is functional. Whatever signal is generated by a single polysaccharide moiety can be multiplied by tethering a complex carbohydrate chain of many molecules that activate complement via the alternate pathway. This chain can be any material or polymer known to those skilled in the art that fulfills the necessary criteria and can include the use of a glucan, peptidoglycan, or complex polysaccharide.

In the case of a peptidoglycan linkage to a reporter probe, both the classical and alternate complement activation pathways may both be simultaneously used to fix and activate complement due to the complexation of polysaccharides (n-acetyl glucosamine and n-acetyl muramic acids) that have peptide linkages thereby activating both pathways. A synergism may be seen by this use of a mixed epitope in the fixation and activation of complement for signal amplification.

#### SIGNAL AMPLIFICATION GENERATED BY A SINGLE MOLECULE THAT BINDS TO THE REPORTER PROBE: HISTONES AND SIMILAR PROTEIN MOLECULES HAVING AFFINITY FOR THE REPORTER OLIGONUCLEOTIDES

A region can be constructed on any reporter probe that has affinity for any molecule or molecules that after binding will fix and activate complement.

To repeat, the following materials activate and fix complement: Protein and antibody that fix C1 (classical pathway):

- Antigen/Antibody complexes

For example: the following proteins and their specific antibodies

- Histones,
- Repressor proteins (operon regulation of transcription and translation etc.)

Materials that do not fix C1 and activate the alternate complement pathway

- Particulate polysaccharides
- Particulate lipopolysaccharides
- Endotoxin
- Trypsin-like enzymes
- Antigen/antibody complexes IgA and IgG4 that do not fix complement

Any pair of molecules (affinity molecules) that appear on the reporter probe and its affinity pair may fix and thereby activate complement.



Some examples or embodiments are shown in Table 2.

TABLE 2

Reporter Conjugated Moiety	Complementary Molecule	Moiety Fixing and/or Complement	Complement Pathway Involved	Signal Amplification Strategy
c-myc Peptide	IgG Anti c-myc	IgG Anti c-myc	Classical	CMSA or MACMSA
Unique DNA sequence	Histone	IgG Anti-Histone	Classical	CMSA or MACMSA
Unique DNA sequence	Repressor Protein	IgG Anti Repressor Protein	Classical	CMSA or MACMSA
Zymogen Activation Molecule	Zymogen	Activated Zymogen	Alternate	SLESA ZMSA-1 and ZMSA-2
Carbohydrate	Properdin	Complement Activation	Alternate	SLESA

This invention is further illustrated by the following examples of diagnostic assays employing CMSA and MACMSA, which are not to be construed in any way as imposing limitations upon the scope thereof. On the contrary, it is to be clearly understood that resort may be had to various other embodiments, modifications, and equivalents thereof which, after reading the description herein, may suggest themselves to those skilled in the art without departing from the spirit of the present invention and/or the scope of the appended claims.

#### Aflatoxin Example

Use of the SLESA embodiments referred to as CMSA and MACMSA can be demonstrated for the ultra-sensitive detection of *Aspergillus* and the mycotoxins aflatoxin (AFB1). Aflatoxins are highly toxic and carcinogenic factors produced by mold contamination of soil-contacted foodstuffs such as peanuts and tobacco. They are usually produced by *Aspergillus flavus* and *Aspergillus parasiticus* and have been characterized as highly unsaturated molecules with a coumarin nucleus.

Aflatoxin B1 and G1 are the parent compounds and are potent carcinogens and have been shown to exert their carcinogenic effect by interaction with cellular nucleic acids (via adduct formation and base change). Aflatoxin B1 has been shown to suppress DNA, RNA and protein synthesis in rat liver cells. These mycotoxins, upon activation have been also shown to mutate both the p53 tumor suppressor gene as well as the K-ras genes. These mutations (guanine and cytosine

The mechanism of aflatoxin B1 reaction is through the formation of DNA adducts supported by the active mode of transport of extracellular toxin into eukaryotic cells, probably mediated by its lipid nature. Similarly, liposomes themselves, lipoid in nature, are afforded rapid uptake through the cell membrane.

Currently, assays for AFB1 are accomplished by chromatography, including high-pressure liquid chromatography (HPLC), reversed-phase liquid chromatography, thin-layer chromatography, adsorption chromatography, immunoaffinity chromatography, gas chromatography; enzyme-linked immunoadsorbent assay (ELISA), fluorescent immunoassay, radioimmunoassay; spectroscopy, including mass spectroscopy, infrared spectroscopy, raman spectroscopy, packed-cell fluorescent spectroscopy; polymerase chain reaction (PCR), supercritical fluid extraction, bio-luminescence, chemical luminescence, and combinations thereof. Fluorescent immunoassay is a presently preferred best mode for assaying for aflatoxin on tobacco with a lower limit of sensitivity of parts per billion (trillions of molecules remain undetectable in the final processed material).

All of these above diagnostic detection techniques lack sensitivity leading to the generation of false negative diagnostic results. These assays currently offer sensitivities no less than parts per billions, meaning that even at the lowest detection level of these toxins very high numbers of molecules still remain present to achieve DNA adduct status in the tobacco user and pre-dispose him/her to a number of cancers.

The aflatoxin B1 presence in tobacco provides a major health risk for users that have been recognized. Attempts have been made to reduce and limit its presence and have been met with strong criticism due to the inability to determine its presence with high sensitivity.

Currently, FDA does not regulate AFB1 levels but does place limits of mold infection of raw tobacco to 300 parts per billion. With the knowledge that production of a single guanine or cytosine transition can predispose an individual to cancer, due to a germ cell mutation, the burden is upon diagnostics to sensitively detect the presence of aflatoxin B1 at much lower levels than is currently attainable. This increased sensitivity coupled with any effective tobacco treatment process to eliminate aflatoxin B1 can result in production of a tobacco product with much reduced risk of cancer production, a "safe" tobacco.

A technique, discussed herein, called Membrane Associated Complement Mediated Signal Amplification (MACMSA) has been developed for the detection of soluble proteins, lipids, polysaccharides, and lipopolysaccharides in solution.

The method relies upon the presence of an antigenic epitope on the molecule and a monoclonal antibody specific to this epitope, both currently available for the AFB1 molecule. This interaction (antigen/antibody complex) will fix and permit complement activation, and the activation will be amplified by the presence of a lipid substrate, in this case, the sensitized RBC stroma. Again as described, complement fixation and activation will be monitored by C3a peptide production and its quantification, also herein described. This involves the classical complement fixation pathway.

Similarly, the presence of *Aspergillus* species organism producing the AFB1 toxin can be detected present in very low copy numbers in tobacco early in its processing. This is accomplished through Complement Mediated Signal Amplification (CMSA) and involves the alternate complement fixation pathway, namely the interaction of the molds cell surface polysaccharides and lipopolysaccharides with complement Factor B, Factor D, and properdin. No complement fixation occurs, but again complement activation occurs and can be monitored by C3a peptide production and its quantification, also herein described.

Utilizing CMSA and MACMSA, one can configure ultra-sensitive diagnostic tests to follow the tobacco from its start through each stage of its processing and resulting in the production of a tobacco/end product that is essentially devoid of AFB1.

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Test Material	Raw Tobacco		Each Process Step	QC Volatilization Testing
Analyte	ASPERGILLUS SP. ASSAY	SOLUBLE AFB1	SOLUBLE AFB1	SOLUBLE AFB1
Diagnostic Process	CMSA Alternate Complement Fixation Pathway	MACMSA Classical Complement Fixation Pathway	MACMSA Classical Complement Fixation Pathway	MACMSA Classical Complement Fixation Pathway
Theoretic Sensitivity Levels	FEW MICROORGANISMS (10 or more)	FEW MOLECULES (10 or more)	FEW MOLECULES (10 or more)	FEW MOLECULES (10 or more)
Volume of Batch Aliquot Tested	NO LIMITATION	NO LIMITATION	NO LIMITATION	NO LIMITATION
Non-specific Signal Background	NONE	NONE	NONE	NONE

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## 15

**Step II:** Add magnetic beads to the well coated with a material specific for fungal cell walls, as opposed to other microbes (differential binding of intact fungi) and mix and incubate for optimum time and temperature.

- Step III: Remove the beads, wash, and place in a new plate well.  
 Step IV: Add fresh complement and mix.  
 Step V: Incubate at room temperature for an optimized time.  
 Step VI: Remove the magnetic beads and place the supernate in a  
 5 new well, to assay for C3a peptides generated, containing magnetic  
 beads coated with the IgG anti C3a capture monoclonal antibody.  
 Step VII: Wash the magnetic beads and place them in a new plate  
 well.  
 Step VIII: Add to the well IgG anti C3a reporter monoclonal  
 10 antibody conjugated with an enzyme such as alkaline phosphatase and  
 mix.  
 Step IX: Wash the magnetic beads to remove unbound enzyme  
 and place the beads into a new plate well.  
 Step X: Add the 1,2 dioxetane chemiluminescent substrate and  
 15 incubate at optimal time and temperature.  
 Step XI: Quantify the light produced.

The following are the steps that comprise the ultra-sensitive  
 assay for the presence of the soluble AFB1 aflatoxin.

- It is important to herein note that any toxin or carcinogen  
 20 known can be similarly assayed such as the most widely studied and  
 suspected environmental carcinogens in lung cancer: polycyclic  
 aromatic hydrocarbons (PAHs) including benzo(a)pyrene (BzP) and  
 4-(methylnitrosoamino)-1-(3-pyridyl)-1-butanone (NNK), along with  
 the AFB1, by a similar method.

- 25 Interestingly, all these and other carcinogens and teratogens  
 form adducts with specific DNA bases, a major factor exploited to  
 allow its sensitive extraction and isolation from solution *in vitro*.  
 Furthermore, all the above hydrocarbons are proven to cause specific  
 mutations to the p53 tumor suppressor and K-ras genes.

### 30 QUANTITATIVE AND AUTOMATED TOBACCO PROCESSING ASSAY FOR SOLUBLE AFB1: CAPTURE STRATEGY ONE- DNA ADDUCT FORMATION

- Step I: Prepare a batch homogenate for testing of the presence  
 35 of AFB1 in buffer and place in a microtiter plate well.

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35 Step III: Remove magnetic beads, wash to get rid of non-specific material, and place beads in another plate well.

Step V: Incubate at conditions favorable to formation of the AFB1/anti AFB1 complex, optimal time and temperature.

Step VII: The magnetic beads are removed and the supernate is placed in another plate well to which is added magnetic beads, coated with IgG anti C3a capture monoclonal antibody, to capture C3a produced and mix.

Step IX: Add IgG anti C3a reporter monoclonal antibody conjugated with AP to the beads and mix.

**Step XI:** Add the 1,2 dioxetane chemiluminescent substrate to the well and incubate at optimal time and temperature to generate light.

QUANTITATIVE AND AUTOMATED TOBACCO PROCESSING  
ASSAY FOR SOLUBLE AFB1: CAPTURE AND ASSAY  
STRATEGY THREE – SENSITIZED RBC LYSIS (SENSITIZED  
WITH THE Ab PAIR IgG ANTI D – IgG ANTI AFB1)

Step II: Remove particulate material by filtration (passive) through a membrane, gravity driven.

Step IV: Monitor RBC lysis spectrophotometrically.

35 In this example ZMSA can be fully functionally substituted for the CMSA and MACMSA process in terms of generation of target signal. Any technology that functions to similarly generate this

highly important signal can be interchanged for assays in all sample areas.

This illustrates the ability of the interchangeable use of these interactive processes and embodiments.

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# USE OF A ZYMOGEN CONVERSION REACTION (ZMSA) IN SINGLE NUCLEOTIDE POLYMORPHISM (SNP) SCORING IN mRNA RP-TFO SNP ANALYSIS

mRNA SNP analysis offers the benefit of SNP analysis in pathogen infections and those situations where the gene containing the SNP is constitutive or constantly expressed. Furthermore, mRNA SNP analysis negates the interference of the non-coding pseudogene on the SNP scoring result.

Figure 9 depicts the embodiment of mRNA RP-TFO SNP analysis using the zymogen conversion reaction (trypsinogen → trypsin). mRNA RP-TFO is presented in related documents and the SNP scoring may be accomplished by any number of methods known to those skilled in the art, such as pyrosequencing, dideoxynucleoside terminator, single base addition, and zymogen conversion, depicted herein.

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The structures presented in Figure 9 are as follows:

1. the target mRNA, this is the analyte to be detected;
2. the PNAS, the protected nucleic acid sequence is produced by RP-TFO protection of the target region of the mRNA analyte of interest;
- 25 3. the PIP, the position identifier probe is a duplex forming oligonucleotide with specificity to the protected target region, 5' to the RP-TFO formation site;
4. the 5' cap, the 5' capped end of the mRNA target analyte;
- 30 5. the 3' poly A end, the 3' poly A of the mRNA target analyte;
6. the polypyrimidine region, the PNAS formation site on the mRNA target analyte, see related documents;
7. the polypyrimidine of the RP-TFO, see related documents;
8. the polypurine region of the RP-TFO which contains 8 amino substituted purines, see related documents;
- 35 9. the biotin, used as a capture molecule, one half of the biotin/streptavidin affinity pair;

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- Figure 9 represents mRNA RP-TFO SNP analysis. The  
 15 following steps represent this procedure.

Care must be taken to use universal mRNA handling procedures to avoid target destruction by environmental ribonucleases. The pH should be kept low due to the lack of activity of these non-specific environmental ribonucleases at acidic pH.

### Step III. Wash

30 Step IV. Add a 3' → 5' exonuclease

35 Step V. Add a streptavidin (SA) coated magnetic bead to capture the PNAS.

It is known to those skilled in the art that a SA coated magnetic bead will capture the biotinylated PNAS, and the conditions at which this will occur (pH 7.2 - 7.6).

Step VI. Wash

- 5 Wash to remove non-specific materials in buffer at neutral pH. The magnetic bead now has an attached PNAS which is the site for hybridization of the Position Identifier Probe (PIP).

Step VII. Hybridize with a PIP

- 10 The PIP hybridizes to the PNAS on the mRNA 5' to the RP-TFO binding site (PNAS). The PIP possesses a 3' end adjacent to a 5' overhang, which is the prerequisite for single base addition by DNA polymerase at this site.

Step VIII. Wash to remove unbound PIP.

Step IX. Perform single base addition to the 3' end of the PIP.

- 15 The 3' end of the PIP is the only site with a 3' end suitable for single base addition by a DNA polymerase, plus dNTP and cofactors, known to those skilled in the art. The site complementary to the single base addition site represents the SNP score.

- 20 In the ZMSA preferred embodiment of SNP scoring, the four bases are conjugated with the digoxigenin (DIG) label. The SNP sample at the point of single base addition in one embodiment is separated into 4 aliquots and only one labeled (DIG) dNTP is placed in a tube containing the sample aliquot, enzyme, and cofactors, as follows:

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SCORING SAMPLE	ALiquot 1	ALiquot 2	ALiquot 3	ALiquot 4
dNTP-DIG	dATP-DIG	dTTP-DIG	dCTP-DIG	dGTP-DIG
Reaction Mix	DNA polymerase cofactors	DNA polymerase cofactors	DNA polymerase cofactors	DNA polymerase cofactors

Step X. The magnetic beads are washed to remove unbound labeled dNTPs. The pH of the wash buffer should be the same as that used in the single base addition.

Step XII. The magnetic bead/PNAS/PIP/conjugate complex is washed to remove unbound conjugate.

**Step XIII: Add Zymogen**  
Trypsinogen is added to be catalytically activated by the enteropeptidase of the conjugate attached to the probe complex at the appropriate pH.

Step XIV: Generate a signal  
Add a substrate (colorimetric, fluorescent, chemiluminescent, etc.)  
that is modified by trypsin catalysis to produce a signal.

Each SNP target should generate an average of  $10^{6-8}$  signals easily providing discriminatory signal amplification for the detection down to a single target, as opposed to the 600,000 to 1,000,000 targets currently necessary for SNP scoring.

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